

Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm	n/yyyy):	30/11	/2019		
To: Hong Kong Exchanges and	d Clearing	Limited			
Name of Issuer	Harbin El	lectric C	ompany Lir	nited	
Date Submitted	2 Decemb	ber 2019			
I. Movements in Authorised Sh	nare Capita	al			
1. Ordinary Shares					
(1) Stock code : <u>1133</u>	Descript	tion:	H Shares		
			ordinary ares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding r	nonth _	675,5	571,000	RMB1.00	RMB 675,571,000.00
Increase/(decrease)	-]	Nil		0
Balance at close of the month	-	675,5	571,000	RMB1.00	RMB 675,571,000.00
(2) Stock code: N/A	Descript	ion:	State Own	ned Equity Int	erest Shares
			ordinary ares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding r	nonth _	1,030	952,000	RMB1.00	RMB 1,030,952,000.00
Increase/(decrease)	-]	Nil		
Balance at close of the month	-	1,030	952,000	RMB1.00	RMB 1,030,952,000.00

2. Preference Shares			
Stock code: Descri	iption: N/A		
	No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			_
3. Other Classes of Shares			
Stock code :Descri	iption : <u>N/A</u>		
	No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			
Total authorised share capital at the enceurrency):	d of the month (State	RMB 1	,706,523,000.00

II. Movements in Issued Share Capital

	No. of ordi	nary shares	No of preference	No. of other classes		
	(1)	(2)	shares	of shares		
Balance at close of preceding month	675,571,000	1,030,952,000				
Increase/ (decrease) during the month	Nil	Nil				
Balance at close of the month	675,571,000	1,030,952,000				

III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer) Particulars of share No. of new shares of No. of new shares of option scheme issuer issued during issuer which may be including EGM the month pursuant issued pursuant thereto approval date as at close of the month thereto Movement during the month (dd/mm/yyyy) and class of shares issuable Granted Exercised Cancelled Lapsed 1. N/A) shares (*Note 1*) 2. N/A shares (Note 1) 3. N/A shares (*Note 1*) Total A. (Ordinary shares) N/A (Preference shares) $\overline{N/A}$ (Other class) N/A Total funds raised during the month from exercise of options (State currency) N/A

(Other class) N/A

Convertibles (i.e. Convertible into Shares of the Issuer which a	ra to ba Listad)

					No. of new	No. of new
					shares of	shares of
					issuer issued	issuer which
					during the	may be issued
					month	pursuant
					pursuant	thereto as at
	Currency of	Amount at close	Converted	Amount at	thereto	close of the
	amount	of preceding	during the	close of the		month
Class and description	_outstanding	month	month	month		

1.

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

than under Share Option Schemes)		
Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1		
2.		
3		
(/ /) shares (Note 1) Total D. (Ordinary shares)	N/A	
(Preference shares) (Other class)	N/A N/A	

Other Movements in Issued Share Capital

No. of new shares of issuer issued during the month pursuant thereto as at close of the Type of Issue

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1. Rights issue At price : State currency

	No. of new	No. of new
	shares of	shares of
	issuer issued	issuer which
	during the	may be
	month	issued
	pursuant	pursuant
	thereto	thereto as at
		close of the
Type of Issue		month

5.	Scrip dividend	At price :	State currency ———	Class of shares issuable (Note 1) Issue and allotment date: (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	(/ /		
6.	Repurchase of shares			Class of shares repurchased (Note 1) Cancellation date: (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	(/ /		
7.	Redemption of shares			Class of shares redeemed (Note 1) Redemption date: (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	(/ /		
8.	Consideration issue	At price :	State currency —	Class of shares issuable (Note 1) Issue and allotment date: (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	(/ /		

	Type of Issue			s iss dı	o. of new hares of uer issued uring the month bursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
		Class of shares issuable (Note 1)		_		
9.	Capital reorganisation	Issue and allotment date: (dd/mm/yyyy)	(/ /)		
		EGM approval date: (dd/mm/yyyy)	(/ /)		
		Class of shares issuable (Note 1)		_		
10.	Other (Please specify) At price: State currency	Issue and allotment date: (dd/mm/yyyy)	(/ /)		
		EGM approval date: (dd/mm/yyyy)	(/ /)		
			Ordinary sh eference sh (Other c	ares) N	V/A	
T	otal increase / (decrease) in ordinary shares during the	e month (i.e. Total of A to F	Ξ):	(1)	0	
				(2)	0	
T	otal increase / (decrease) in preference shares during	the month (i.e. Total of A to	ь E):		N/A	
T	otal increase / (decrease) in other classes of shares du	ring the month (i.e. Total o	f A to E):		N/A	
(7	These figures should be the same as the relevant figur	es i m h	iond nn	С	m do)

IV. Confirmations

issuer (13.25A	reby confirm to the best knowledge, information and belief that, in relation to each of the securities issued by the during the month as set out in Part III which has not been previously disclosed in a return published under rule a, it has been duly authorized by the board of directors of the listed issuer and, insofar as applicable:
(Note 2	
(i)	all money due to the listed issuer in respect of the issue of securities has been received by it;
(ii)	all pre-conditions for listing imposed by the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited
(iii)	all (if any) conditions contained in the formal letter granting listing of and permission to deal in the securities have been fulfilled;
(iv)	all the securities of each class are in all respects identical (Note 3);
(v)	all documents required by the Companies (Winding Up and Miscellaneous Provisions) Ordinance to be filed with the Registrar of Companies have been duly filed and that compliance has been made with other legal requirements;
(vi)	all the definitive documents of title have been delivered/are ready to be delivered/are being prepared and will be delivered in accordance with the terms of issue;
(vii)	completion has taken place of the purchase by the issuer of all property shown in the listing document to have been purchased or agreed to be purchased by it and the purchase consideration for all such property has been duly satisfied; and
(viii)	the trust deed/deed poll relating to the debenture, loan stock, notes or bonds has been completed and executed, and particulars thereof, if so required by law, have been filed with the Registrar of Companies.
Remark	as (if any):
Submit	ted by:Ai Li-Song
Title:	Company Secretary
	(Director, Secretary or other duly authorised officer)

Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. Items (i) to (viii) are suggested forms of confirmation which may be amended to meet individual cases. Where the issuer has already made the relevant confirmations in a return published under rule 13.25A in relation to the securities issued, no further confirmation is required to be made in this return.
- 3. iad hindach io o
 - the securities are of the same nominal value with the same amount called up or paid up;
 - they are entitled to dividend/interest at the same rate and for the same period, so that at the next ensuing distribution, the dividend/interest payable per unit will amount to exactly the same sum (gross and net); and
 - they carry the same rights as to unrestricted transfer, attendance and voting at meetings and rank pari passu in all other respects.
- 4. *If there is insufficient space, please append the prescribed continuation sheet.*