

## **Monthly Return of Equity Issuer on Movements in Securities**

For the month ended (dd/mm/y	yyy):	31/12/2018		
To: Hong Kong Exchanges and C	learing Lim	nited		
Name of Issuer H	arbin Electr	ric Company Lir	nited	
Date Submitted 2 <sup>n</sup>	d January 20	019		
I. Movements in Authorised Share	e Capital			
1. Ordinary Shares				
(1) Stock code : <u>1133</u> I	Description	: <u>H Shares</u>		
	No	o. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding mor	ith <u>e</u>	675,571,000	RMB1.00	RMB 675,571,000.00
Increase/(decrease)		Nil		
Balance at close of the month		675,571,000	RMB1.00	RMB 675,571,000.00
(2) Stock code : N/A I	Description	: State Own	ned Equity In	terest Shares
	No	o. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding mor	1. 1.	,030,952,000	RMB1.00	RMB 1,030,952,000.00
Increase/(decrease)		Nil		
Balance at close of the month	1	,030,952,000	RMB1.00	RMB 1,030,952,000.00

No of a C	Par value	
No. of preference shares	(State currency)	Authorised share capital (State currency)
ription: N/A		
No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
_	No. of other	Par value No. of other (State

## II. Movements in Issued Share Capital

	No. of ordinary shares		No of preference	No. of other classes
	(1)			of shares
Balance at close of preceding month	675,571,000	1,030,952,000		
Increase/ (decrease) during the month	Nil	Nil		
Balance at close of the month	675,571,000	1,030,952,000		

## III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Share Options (under	Share Option	Schemes of the	133001)			
Particulars of share					No. of new shares of	No. of new shares of
option scheme					issuer issued during	issuer which may be
including EGM					the month pursuant	issued pursuant thereto
approval date		Movement duri	ng the month		thereto	as at close of the month
(dd/mm/yyyy) and -		Wiovement duri	ing the month		=	
class of shares						
issuable	Granted	Exercised	Cancelled	Lapsed		
1.						
( / / )						
shares						
(Note 1)			·			-
(11010 1)						
2.						
<i>-</i>						

Description of warrants (Date of expiry - dd/mm/yyyy)  1.		Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.	-					
( / / ) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 2.	( / /	)				
( / / ) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3.	( / /	)				
( / / ) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 4.						
Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)	( / /	)				
		Total	(Prefere	nary shares) <u>N/A</u> ence shares) <u>N/A</u> Other class) N/A		- -

ass and description	Currency of amount outstanding	Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	_					
Stock code (if listed) Class of shares issuable (Note 1)						
Subscription price EGM approval date (if applicable) (dd/mm/yyyy))	( / / )					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price		·_				
EGM approval date (if applicable) (dd/mm/yyyy)	( / / )					
Stock code (if listed)						
issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)	( / / )					
	_					

Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1		
2		
3		
Total D. (Ordinary shares) (Preference shares) (Other class)	N/A N/A N/A	

Oti	ner Movements in	Issued Share Capital				No. of new	No. of new
	Type of Issue					shares of issuer issued during the month pursuant thereto	shares of issuer which may be issued pursuant thereto as at close of the month
	<u> </u>		Class of allows in allo				
			Class of shares issuable (Note 1)				
1.	Rights issue	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
			Class of shares issuable (Note 1)				
2.	Open offer	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
			Class of shares issuable (Note 1)				
3.	Placing	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
			Class of shares issuable (Note 1)				
4.	Bonus issue		Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		

	Type of Issue					No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Type of Issue	Class of shares issuable					
		(Note 1)					
5.	Scrip dividend At price : State currency ———	Issue and allotment date : (dd/mm/yyyy)	(	/ /	)		
		EGM approval date: (dd/mm/yyyy)	(	/ /	)		
		Class of shares repurchased ( <i>Note 1</i> )	_				
6.	Repurchase of shares	Cancellation date : (dd/mm/yyyy)	(	/ /	)		
		EGM approval date: (dd/mm/yyyy)	(	/ /	)		
		Class of shares redeemed ( <i>Note 1</i> )					
7.	Redemption of shares	Redemption date : (dd/mm/yyyy)	(	/ /	)		
		EGM approval date: (dd/mm/yyyy)	(	/ /	)		
		Class of shares issuable (Note 1)	_				
8.	Consideration issue At price : State currency	Issue and allotment date : (dd/mm/yyyy)	(	/ /	)		
		EGM approval date: (dd/mm/yyyy)	(	/ /	)		

	Type of Issue			No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
			Class of shares issuable(Note 1)	_	
9.	Capital reorganisation		Issue and allotment date: (dd/mm/yyyy)	)	
			EGM approval date: ( / / (dd/mm/yyyy)	)	
			Class of shares issuable(Note 1)	_	
10.	Other (Please specify)	At price : State currency	- Issue and allotment date : (dd/mm/yyyy)	)	
			EGM approval date: ( / / (dd/mm/yyyy)	)	
			Total E. (Ordinary sh (Preference sh (Other		- - -
То	otal increase / (decre	ase) in ordinary shares during	g the month (i.e. Total of A to E):	(1) 0	
				(2) 0	

N/A

N/A

Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):

(These figures should be the same as the relevant figures

 $Total\ increase\ /\ (decrease)\ in\ other\ classes\ of\ shares\ during\ the\ month\ (i.e.\ Total\ of\ A\ to\ E):$ 

Remarks (if any):
Submitted by: Ai Li-Song
Title: Company Secretary
(Director, Secretary or other duly authorised officer)

## Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.